

UNITED STATES DISTRICT COURT

Eastern

District of

New York

UNITED STATES OF AMERICA
V.

Keppel Offshore & Marine, U.S.A. Inc.

JUDGMENT IN A CRIMINAL CASE
(For Organizational Defendants)

CASE NUMBER: 17-cr-00698

Sean Hecker, Esq.

Defendant Organization's Attorney

FILED
IN CLERK'S OFFICE
US DISTRICT COURT E.D.N.Y.

★ JAN 11 2018 ★

THE DEFENDANT ORGANIZATION:

☒ pleaded guilty to count(s) One☐ pleaded nolo contendere to count(s) _____
which was accepted by the court.☐ was found guilty on count(s) _____
after a plea of not guilty.

BROOKLYN OFFICE

The organizational defendant is adjudicated guilty of these offenses:

Title & Section	Nature of Offense	Offense Ended	Count
18 U.S.C. Section 371	Conspiracy to Violate 15 U.S.C. Sections 78dd-2 and 78dd-3	12/31/2014	One

The defendant organization is sentenced as provided in pages 2 through 6 of this judgment.☐ The defendant organization has been found not guilty on count(s) _____☐ Count(s) _____ ☐ is ☐ are dismissed on the motion of the United States.

It is ordered that the defendant organization must notify the United States attorney for this district within 30 days of any change of name, principal business address, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant organization must notify the court and United States attorney of material changes in economic circumstances.

Defendant Organization's
Federal Employer I.D. No.: 56-232126212/22/2017
Date of Imposition of Judgment

Defendant Organization's Principal Business Address:

Keppel Offshore & Marine USA, Inc.
5177 Richmond Ave, Suite 1065
Houston, TX 77056, USA/s/ USDJ KIYO A. MATSUMOTO
Signature of JudgeThe Hon. Kiyo A. Matsumoto
Name of JudgeDistrict Judge
Title of Judge12/29/2017
Date

Defendant Organization's Mailing Address:

Keppel Offshore & Marine USA, Inc.
5177 Richmond Ave, Suite 1065
Houston, TX 77056, USA

DEFENDANT ORGANIZATION: Keppel Offshore & Marine, U.S.A. Inc.
CASE NUMBER: 17-cr-00698Judgment — Page 2 of 6**CRIMINAL MONETARY PENALTIES**

The defendant organization must pay the following total criminal monetary penalties under the schedule of payments on Sheet 4.

	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
TOTALS	\$ 400.00	\$ 4,725,000.00	\$

- ☐ The determination of restitution is deferred until _____. An *Amended Judgment in a Criminal Case* (AO 245C) will be entered after such determination.
- ☐ The defendant organization shall make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant organization makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Total Loss*</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
----------------------	--------------------	----------------------------	-------------------------------

TOTALS	\$	<u>0.00</u>	\$	<u>0.00</u>
---------------	----	-------------	----	-------------

- ☐ Restitution amount ordered pursuant to plea agreement \$ _____
- ☒ The defendant organization shall pay interest on restitution or a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 4 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).
- ☐ The court determined that the defendant organization does not have the ability to pay interest, and it is ordered that:
- ☐ the interest requirement is waived for the ☐ fine ☐ restitution.
- ☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

AO 245E (Rev. 12/03) Judgment in a Criminal Case for Organizational Defendants
Sheet 3A — Criminal Monetary Penalties

DEFENDANT ORGANIZATION: Keppel Offshore & Marine, U.S.A. Inc.
CASE NUMBER: 17-cr-00698

Judgment — Page 3 of 6

ADDITIONAL TERMS FOR CRIMINAL MONETARY PENALTIES

Fine of \$4,725,000 is to be paid within ten days of December 22, 2017.

DEFENDANT ORGANIZATION: Keppel Offshore & Marine, U.S.A. Inc.
CASE NUMBER: 17-cr-00698

Judgment — Page 4 of 6

SCHEDULE OF PAYMENTS

Having assessed the organization's ability to pay, payment of the total criminal monetary penalties are due as follows:

- A ☐ Lump sum payment of \$ _____ due immediately, balance due
- ☐ not later than _____, or
☐ in accordance with ☐ C or ☐ D below; or
- B ☐ Payment to begin immediately (may be combined with ☐ C or ☐ D below); or
- C ☐ Payment in _____ (e.g., equal, weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after the date of this judgment; or
- D ☒ Special instructions regarding the payment of criminal monetary penalties:
- Payment of the \$4,725,000 fine is to be made within ten days of December 22, 2017. Payment of the \$400 mandatory special assessment is to be made before or at the same time as payment of the fine.

All criminal monetary penalties are made to the clerk of the court.

The defendant organization shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

☐ Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- ☐ The defendant organization shall pay the cost of prosecution.
- ☐ The defendant organization shall pay the following court cost(s):
- ☐ The defendant organization shall forfeit the defendant organization's interest in the following property to the United States:

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) penalties, and (8) costs, including cost of prosecution and court costs.